# Broadband terahertz polarization beam splitter based on subwavelength grating sandwiched between silica layers 

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#### Abstract

A broadband terahertz（THz）polarization beam splitter（PBS）was proposed．The PBS was based on subwavelength grating sandwiched between silica layers，which could split an arbitrarily polarized optical beam into two orthogonal，linearly polarized components，and then reflected the TE mode and transmit the TM mode．It was shown that THz PBS could efficiently operate from 3.5 THz to 5.5 THz ，with high diffraction efficiencies and extinction ratios．In the process of PBS manufacture，there would be unavoidable deviations of the geometric parameters，which may affect its properties，i．e．the diffraction efficiencies and extinction ratios．Therefore，some structure parameters were calculated．Those values suggested that the designed PBS allows sufficient manufacture tolerances．When $D_{1}$ ranged from $1 \mu \mathrm{~m}$ to $1.2 \mu \mathrm{~m}$ and thickness $D_{3}$ ranged from $2.8 \mu \mathrm{~m}$ to $3 \mu \mathrm{~m}$ ，the values of $T_{0}^{\mathrm{TM}}$ are always more than $96.9 \%$ and those of $R_{0}^{\mathrm{TE}}$ are more than $98.7 \%$ ．And the values of $T_{c}$ and $R_{c}$ were respectively kept higher than 31 dB and 33.4 dB ．These results show the PBS with a frequency bandwidth of 2 THz ，a large angle range of $10^{\circ}$ ，an extinction ratios over 20 dB and a diffraction efficiencies over $90 \%$ ，is obtained．This work may inspire related studies and achieve some potential applications in THz manipulation system．


Key words：polarization beam splitter；terahertz device；subwavelength grating
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# 基于二氧化硅薄膜夹层式亚波长金属光栅的宽波段太赫兹偏振分束器 

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#### Abstract

摘 要：设计出一种结构新颖的宽波段太赫兹偏振分束器，这种偏振分束器由夹层式亚波长金属光栅制成。亚波长金属光栅偏振分束器可以将入射的任意自然光分成两束偏振状态垂直的线偏振光。其中，TE 模反射而 TM 模透射。设计的偏振分束器在 $3.5 \sim 5.5 \mathrm{THz}$ 波段可以达到很高的衍射效率与消光比。但是，在光栅的实际制作过程中，加工技术的缺陷引起的误差大大影响了光栅的性能，比如衍射效率，消光比等。因此文中对一些结构参数进行了计算，从计算结果可以看出这种偏振分束器也有很好的


[^0]工艺容差。当覆盖层厚度 $D_{1}$ 与底层介质厚度 $D_{3}$ 的变化范围分别为 $1 \sim 1.2 \mu \mathrm{~m}$ 和 $2.8 \sim 3 \mu \mathrm{~m}$ 时，$T_{0}^{\mathrm{TM}}$ 大于 $96.9 \%, R_{0}^{\mathrm{TE}}$ 大于 $98.7 \%$ 。 $T_{c}$ 和 $R_{c}$ 分别大于 31 dB 和 33.4 dB 。结果显示，设计的偏振分束器在 2 THz的带宽 $10^{\circ}$ 的大角度范围内，衍射效率高于 $90 \%$ ，消光比大于 20 dB 。因此文中设计对于太赫兹调制器件的研究，以及太赫兹通信系统的集成都有很大的参考价值。
关键词：偏振分束器；太赫兹器件；亚波长光栅

## 0 Introduction

Terahertz（THz）electromagnetic waves whose frequencies range from 0.1 to 10 THz have attracted extensive interests due to their broad applications， such as advanced imaging，medical sciences，and national security．As a result，there are rapidly growing demands for THz devices to generate THz waves and manipulate their propagation．Recently， some considerable progresses have been made to develop THz devices，such as THz sources ${ }^{[1-3]}$ ，phase modulators ${ }^{[4-5]}$ ，lenses ${ }^{[6-7]}$ ，beam splitters ${ }^{[8-15]}$ and detectors ${ }^{[16-17]}$ ．Polarization beam splitter（PBS）is one of the most important devices to modulate the polarized THz wave．Conventionally，PBS can be designed using birefringent crystals ${ }^{[8-9]}$ ．However，natural crystals operating in THz frequencies are very rare and expensive，and not conducive to actual production and all－optical integration．In view of these disadvantages and the urgent needs of terahertz technology development，some researchers have tried to artificially design THz PBS using microstructures and made some achievements．Utilizing elaborately designed liquid crystals ${ }^{[10]}$ ，two－dimensional photonic crystals ${ }^{[11-12]}$ ，multilayers structure ${ }^{[13]}$ ，and subwavelength gratings ${ }^{[14-15]}$ ，different THz PBSs have been realized and most of them can function with a broad band around 1 THz ．Here，based on the inspiration of these work，we design a new THz PBS operating in a broader band by combining the subwavelength grating with the multilayer structure．

In this paper，a new PBS device is designed based on subwavelength grating sandwiched between
silica layers ${ }^{[18-19]}$ operating in THz region．Numerical results are achieved by rigorous coupled wave analysis （RCWA）${ }^{[20]}$ and genetic algorithm（GA）${ }^{[21]}$ methods， showing that the PBS is a broadband device efficiently operating from 3.5 THz to 5.5 THz ．In the working band，the PBS reaches high diffraction efficiency （ $>90 \%$ ）and possesses large extinction ratio（ $>20 \mathrm{~dB}$ ）． In addition，by sweeping the geometric parameters，we find that the designed PBS allows sufficient manufacture tolerance．Such a PBS device with high diffraction efficiency in a broad band may achieve some applications in THz wave manipulation．

## 1 Design theory

The designed PBS is shown in Fig．1，where the grating is made of steel（ $\varepsilon=837.9-879.68 i)$ ，filled with $\mathrm{SiO}_{2}(n=1.46)$ in the groove，and sandwiched by two $\mathrm{SiO}_{2}$ films on glass（ $n=1.52$ ）substrate．The labels $D_{1}, D_{2}$ and $D_{3}$ respectively represent the thickness of


Fig． 1 Schematic description of the PBS based on steel subwavelength grating sandwiched between silica layers．$D_{1}$ is the top silica layer thickness，$D_{2}$ is the groove depth，$D_{3}$ is the bottom silica thickness， is the period of grating，and $f \Lambda$ is the length of grating
the top $\mathrm{SiO}_{2}$ layer，the grating and bottom $\mathrm{SiO}_{2}$ layer； $f, \theta$ and $\Lambda$ respectively represent the duty cycle， incident angle and the grating period，where $f$ is defined to be the length of grating divided by the grating period $\Lambda$ ．The simple multilayer and grating structure can easily separate incident waves and enhance transmission ${ }^{[22]}$ ．If the incident wave is polarized along the grating direction，the conduction electrons are driven along the length of the grating with unrestricted movement．The coherently excited electrons generate a forward－traveling as well as a backward－traveling wave，with the forward－traveling wave canceling the incident wave in the forward direction．The physical response of the grating is essentially the same as that of a thin metal sheet．As a result，the incident wave is totally reflected and nothing is transmitted in the forward direction．In contrast，if the incident wave is polarized perpendicular to the grating and if the grating spacing is smaller than the wavelength，the Ewald－Oseen field generated by the electrons is not sufficiently strong to cancel the incoming field in the forward direction． Thus there is considerable transmission of the incident wave．The backward－traveling wave is also much weaker，leading to a small reflectance．Thus most of the incident light is transmitted．

The diffraction efficiency is one of the most important parameters to evaluate the PBS，which is defined as：

$$
\begin{equation*}
\eta_{m}=\frac{\left|s_{z n}\right|}{\left|s_{z, \text { Inc }}\right|} \tag{1}
\end{equation*}
$$

where $s_{\mathrm{zm}}$ and $s_{z, \text { Inc }}$ are the energy flux along $z$－axis of the diffraction wave and incident wave，respectively． In this paper，we use $T_{0}^{\mathrm{TE}}$ and $T_{0}^{\mathrm{TM}}$ to represent the transmission efficiency of TE mode and TM mode， $R_{0}^{\mathrm{TE}}$ and $R_{0}^{\mathrm{TM}}$ to represent the reflection efficiency of TE mode and TM mode，respectively．In this way， higher $R_{0}^{\mathrm{TE}}$ and $T_{0}^{\mathrm{TM}}$ correspond with lower loss and better PBS．In addition，the extinction ratio is another important parameter of the PBS，where the
transmission extinction ratio $T_{c}$ and reflection extinction ratio $R_{c}$ are defined as follows：

$$
\begin{align*}
& T_{c}=10 \log _{10}\left(\frac{T_{0}^{\mathrm{TM}}}{T_{0}^{\mathrm{TE}}}\right)  \tag{2}\\
& R_{c}=10 \log _{10}\left(\frac{R_{0}^{\mathrm{TE}}}{R_{0}^{\mathrm{TM}}}\right) \tag{3}
\end{align*}
$$

where higher $T_{c}$ and $R_{c}$ imply better performance．
Using the rigorous coupled wave analysis （RCWA）method，we can calculate the $\eta_{m}, T_{c}$ and $R_{c}$ ． Here，we divide the system into three regions，the incident region in air，the sandwiched grating region， and the transmission region in substrate．In the sandwiched grating region，to simplify the calculation process，the dielectric film can be regarded as a grating whose period equals to the embedded grating but the duty cycle equals to 1 ．

In order to optimize the diffraction efficiency of the PBS，we introduce the following optimization function：

$$
\begin{align*}
F= & \left\{\frac { 1 } { N } \sum _ { H _ { i } } \left[\left(\frac{1}{T_{0}^{\mathrm{TM}}\left(H_{i}\right)}\right)^{2}+\left(\frac{1}{R_{0}^{\mathrm{TE}}\left(H_{i}\right)}\right)^{2}+\right.\right. \\
& \left.\left.\left(T_{0}^{\mathrm{TE}}\left(H_{i}\right)\right)^{2}+\left(R_{0}^{\mathrm{TM}}\left(H_{i}\right)\right)^{2}\right]\right\}^{1 / 2} \tag{4}
\end{align*}
$$

where $H_{i}$ represents the frequency，and $N$ is the number of frequencies in calculation．Here，we set the frequencies spanning from 3.5 THz to 5.5 THz with an interval of 0.02 ．Therefore，$N$ equals to 100 in Eq．（4）． In this way，the smaller the $F$ value，the better the performance of the PBS is．

In order to optimize the PBS，we sweep the parameters $\left\{D_{1}, D_{2}, D_{3}, f, \Lambda\right\}$ from $\{2,1,0.5,0.25,5\}$ to $\{5,4,1.5,0.45,7\}$ utilizing the RCWA and the GA． Reasonable optimization range is extremely important． The GA will randomly select a set of initial values， and according to the objective function $F$ in Eq．（4），it picks out the optimal individual using the genetic operators，i．e．select，crossover and mutation．As a result，we finally get the optimized combination of the geometric parameters to be $\{2.9,1.91,1.12,0.34,6\}$ ， as shown in Tab．1．

Tab． 1 Optimized parameter of the PBS for $H=4.5 \mathrm{THz}, \theta=70^{\circ}$

| $D_{1} / \mu \mathrm{m}$ | $D_{2} / \mu \mathrm{m}$ | $D_{3} / \mu \mathrm{m}$ | $f$ | $\Lambda / \mu \mathrm{m}$ |
| :---: | :---: | :---: | :---: | :---: |
| 2.9 | 1.91 | 1.12 | 0.34 | 6 |

## 2 Results and discussions

## 2．1 Simulation results

Based on the optimized geometric parameters，we calculate the diffraction efficiencies and the extinction ratios to examine the performance of the PBS．When the incident angle is $70^{\circ}$ ，the diffraction efficiencies as a function of frequencies are shown in Fig． 2 （a）． Using the compression coordinate，we could clearly observe the change of data．The values of $R_{0}^{\mathrm{TE}}$ are higher than $98.4 \%$ from 3.5 THz to 5.5 THz ，and the values of $T_{0}^{\mathrm{TM}}$ are from $97 \%$ to $95.6 \%$ in the same frequency range．Moreover，around the center frequency，the values of $T_{0}^{\mathrm{TM}}$ and $R_{0}^{\mathrm{TE}}$ are both higher than $96 \%$ ．Figure 2（b）exhibits the relationship between the diffraction efficiencies and frequencies．The values of $T_{c}$ are more than 28.5 dB in the frequency range from 3.5 THz to 5.5 THz ．Furthermore，$R_{c}$ keeps more than 23.1 dB in this range，and even reaches 59.9 dB around the central frequency．


Fig． 2 （a）The diffraction efficiencies and（b）the extinction ratios versus the incident frequencies of the PBS with $f=0.34$ ， $\Lambda=6 \mu \mathrm{~m}, D_{1}=2.9 \mu \mathrm{~m}, D_{2}=1.91 \mu \mathrm{~m}$ ，and $D_{3}=1.12 \mu \mathrm{~m}$ ， operating at $\theta=70^{\circ}$

Figure 3 （a）shows the variation of diffraction efficiency with incident angle around 4.5 THz ．The values of $T_{0}^{\mathrm{TM}}$ and $R_{0}^{\mathrm{TE}}$ respectively maintain more than $94 \%$ and $98.2 \%$ when the incident angles range from
$60^{\circ}$ to $70^{\circ}$ ．As shown in Fig． 3 （b），when incident angle ranges from $60^{\circ}$ to $70^{\circ}$ ，the values of $T_{c}$ are more than 29.2 dB ．Meanwhile，within the angle range from $64.8^{\circ}$ to $73.5^{\circ}, R_{c}$ retains more than 20 dB ，and reaches 38.3 dB at the incident angle of $69.7^{\circ}$ ．

In addition，we calculate the simulation results in Tab． 2 and Tab．3，when the values of $D_{1}$ and $D_{1}$ are 0 ，the subwavelength grating sandwiched between silica layers can be regard as an ordinary subwavelength grating．Comparing Fig．2，Fig． 3 with Tab． 2 and Tab．3，it can be clearly seen that the transmittance and extinction ratios of the sandwich grating are much better than ordinary grating．


Fig． 3 （a）The diffraction efficiencies（b）the extinction ratios versus the incident angles of the PBS with $f=0.34, \Lambda=6 \mu \mathrm{~m}$ ， $D_{1}=2.9 \mu \mathrm{~m}, D_{2}=1.91 \mu \mathrm{~m}$ ，and $D_{3}=1.12 \mu \mathrm{~m}$ ，operating at $H=4.5 \mathrm{THz}$

Tab． 2 Results of the PBS with $D_{1}=0, D_{3}=0$ ， $f=0.34, \Lambda=6 \mu \mathrm{~m}, D_{2}=1.91 \mu \mathrm{~m}$ ， operating at $\boldsymbol{\theta}=70^{\circ}$

| $H$ | $3.5 / \mathrm{THz}$ | $4 / \mathrm{THz}$ | $4.5 / \mathrm{THz}$ | $5 / \mathrm{THz}$ | $5.5 / \mathrm{THz}$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| $T_{0}^{\mathrm{TM}}$ | $82.51 \%$ | $80.33 \%$ | $78.44 \%$ | $76.94 \%$ | $75.96 \%$ |
| $R_{0}^{\mathrm{TE}}$ | $90.96 \%$ | $90.32 \%$ | $91.05 \%$ | $92.44 \%$ | $93.78 \%$ |
| $T_{c} / \mathrm{dB}$ | 17.64 | 16.75 | 16.56 | 16.81 | 17.24 |
| $R_{c} / \mathrm{dB}$ | 8.48 | 7.86 | 7.45 | 7.20 | 7.11 |

Tab． 3 Results of the PBS with $D_{1}=0, D_{3}=0$ ， $f=0.34, \Lambda=6 \mu \mathrm{~m}, D_{2}=1.91 \mu \mathrm{~m}$, operating at $H=4.5 \mathrm{THz}$

| $\theta$ | $60^{\circ}$ | $65^{\circ}$ | $70^{\circ}$ | $75^{\circ}$ |
| :---: | :---: | :---: | :---: | :---: |
| $T_{0}^{\mathrm{TM}}$ | $89.97 \%$ | $85.63 \%$ | $84.28 \%$ | $82.51 \%$ |
| $R_{0}^{\mathrm{TE}}$ | $98.63 \%$ | $98.85 \%$ | $98.85 \%$ | $98.97 \%$ |
| $T_{c} / \mathrm{dB}$ | 30.12 | 30.68 | 30.82 | 30.98 |
| $R_{c} / \mathrm{dB}$ | 11.16 | 9.15 | 8.68 | 8.13 |

These results show that a frequency bandwidth of 2 THz and a large angle range of $10^{\circ}$ ，with an extinction ratios over 20 dB and a diffraction efficiencies over $90 \%$ ，are obtained．This is a relatively well designed PBS in THz region．

## 2．2 Fabrication tolerance analysis

In the process of PBS manufacture，there would be unavoidable deviations of the geometric parameters， which may affect its properties，i．e．the diffraction efficiencies and extinction ratios．Therefore，detailed analyses on the parameters tolerances are extremely necessary．

In this way，contour maps in Fig． 4 （a）－（d） respectively show the variations of $T_{0}^{\mathrm{TM}}, R_{0}^{\mathrm{TE}}, T_{c}$ and $R_{c}$ as a function of duty cycle $f$ and frequency $H$ ，with the incident angle at $70^{\circ}$ and other parameters kept the optimized ones．When $f$ changes from 0.33 to 0.35 ，the values of $T_{0}^{\text {TM }}$ are higher than $95.2 \%$ in the operating frequency range（from 3.5 THz to 5.5 THz ），and meanwhile，the values of $R_{0}^{\mathrm{TE}}$ are more than $98.2 \%$ ． What＇s more，the values of $T_{c}$ are kept higher than 28 dB in this frequency range and the values of $R_{c}$ are higher than 21 dB ．Around the optimized value of $f(f=0.34)$ ，the $R_{c}$ reaches its maximum values 60 dB ．


Fig． 4 （a）$T_{0}^{\mathrm{TM}}$ ，（b）$R_{0}^{\mathrm{TE}}$ ，（c）$T_{c}$ and（d）$R_{c}$ versus the incident frequencies $H$ and duty cycle $f$ of the PBS whose $D_{1}=2.9 \mu \mathrm{~m}, D_{2}=1.91 \mu \mathrm{~m}, D_{3}=1.12 \mu \mathrm{~m}$ ，and $\Lambda=6 \mu \mathrm{~m}$ ， working at the incident angle $\theta=70^{\circ}$

We then calculate the diffraction efficiencies and extinction ratios when changing the groove depth $D_{2}$ from $1.8 \mu \mathrm{~m}$ to $2 \mu \mathrm{~m}$ ，and fixing the other parameters． Figure 5 shows the corresponding results from 3.5 THz to 5.5 THz with the incident angle at $70^{\circ}$ ．As shown in Fig．5（a）and（b），the values of $T_{0}^{\mathrm{TM}}$ maintain higher than $95.4 \%$ and those of $R_{0}^{\mathrm{TE}}$ are more than $98.4 \%$ ． Meanwhile，as shown in Fig．5（c）and（d），the values of $T_{c}$ are higher than 27.8 dB and those of $R_{c}$ are higher than 20.8 dB ．


Fig． 5 （a）$T_{0}^{\mathrm{TM}}$ ，（b）$R_{0}^{\mathrm{TE}}$ ，（c）$T_{c}$ and（d）$R_{c}$ versus the incident
frequencies $H$ and the groove depth $D_{2}$ of the PBS whose $D_{1}=2.9 \mu \mathrm{~m}, D_{3}=1.12 \mu \mathrm{~m}, \Lambda=6 \mu \mathrm{~m}$ ，and $f=0.34 \mu \mathrm{~m}$ ，working at incident angle $\theta=70^{\circ}$

After that，we analyze the dependence of $T_{0}^{\mathrm{TM}}, R_{0}^{\mathrm{TE}}$ ， $T_{c}$ and $R_{c}$ on the grating period $\Lambda$ and the incident angle $\theta$ at 4.5 THz ，while other parameters are kept constant．When the $\Lambda$ varies from $5.9 \mu \mathrm{~m}$ to $6.1 \mu \mathrm{~m}$ and the $\theta$ ranges from $65^{\circ}$ to $75^{\circ}$ ，the corresponding results are shown in Fig．6．Figure 6（a）and（b）reveal the values of $T_{0}{ }^{\mathrm{TM}}$ are higher than $94.9 \%$ and those of



Fig． 6 （a）$T_{0}^{\mathrm{TM}}$ ，（b）$R_{0}^{\mathrm{TE}}$ ，（c）$T_{c}$ and（d）$R_{c}$ versus the grating period
$\Lambda$ and incident angles $\theta$ of the PBS whose $f=0.34$ ，
$D_{1}=2.9 \mu \mathrm{~m}, D_{2}=1.91 \mu \mathrm{~m}$ ，and $D_{3}=1.12 \mu \mathrm{~m}$ ，working at 4.5 THz $R_{0}^{\mathrm{TE}}$ are higher than $98.4 \%$ ．In the same range as displayed in Fig． 6 （c）and（d），the values of $T_{c}$ are higher than 29.7 dB and those of $R_{c}$ are higher than 16.6 dB ．Especially in the angle range from $65^{\circ}$ to $73.5^{\circ}, R_{c}$ are kept higher than 20 dB ．

The contour maps in Fig． 7 reveal the values of the diffraction efficiencies and extinction ratios as a function of the thickness $D_{1}$（from $1 \mu \mathrm{~m}$ to $1.2 \mu \mathrm{~m}$ ） and thickness $D_{3}$（from $2.8 \mu \mathrm{~m}$ to $3 \mu \mathrm{~m}$ ），at 4.5 THz with the incident angle of $70^{\circ}$ ．Figure 7 （a）and（b） show that the values of $T_{0}{ }^{\mathrm{TM}}$ are always more than $96.9 \%$ and those of $R_{0}^{\mathrm{TE}}$ are more than $98.7 \%$ ．Figure 7（c）and （d）exhibit that the values of $T_{c}$ and $R_{c}$ are respectively kept higher than 31 dB and 33.4 dB ．


Fig． 7 （a）$T_{0}^{\mathrm{TM}}$ ，（b）$R_{0}^{\mathrm{TE}}$ ，（c）$T_{c}$ and（d）$R_{c}$ versus the thickness $D_{1}$ and thickness $D_{3}$ of the PBS whose $f=0.34, \Lambda=6 \mu \mathrm{~m}$ ，and $D_{2}=1.91 \mu \mathrm{~m}$ ，operating at 4.5 THz with incident angle $\theta=70^{\circ}$ From the perspective above，our designed PBS
has good manufacture tolerances of the duty cycle， groove depth，period and the thickness of the silica layers．In this way，this PBS device made of cheap materials owning low loss can be easily fabricated．

## 3 Conclusions

In this paper，a new PBS based on subwavelength grating sandwiched between dielectric layers is theoretically designed using RCWA，and optimized by GA．This PBS has relatively simple structure made by materials with low cost．The calculation results show that the designed PBS operating well in a broad band from 3.5 to 5.5 THz ， with high diffraction efficiency and extinction ratios． Moreover，fabrication error analysis shows that the PBS has good tolerance in this respect．Therefore，this PBS may achieve wide applications in THz laser system，imaging system and polarization system．

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